



ATTACHMENT NO. 4
FORMAL PREQUALIFICATION QUESTIONNAIRE
FOR
GENERAL BUILDING CONTRACTORS SEEKING TO BID ON
CONSTRUCTION PROJECTS

- GENERAL FACILITY CONSTRUCTION INCLUDING SEISMIC RENOVATION
- OSHPD FACILITY CONSTRUCTION INCLUDING SEISMIC RENOVATION
- JUSTICE AND/OR DETENTION CONSTRUCTION INCLUDING SEISMIC RENOVATION

EXPERIENCE LEVEL I: \$200,000 TO \$4,999,999

EXPERIENCE LEVEL II: \$5,000,000 TO \$30,000,000



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PART I: GENERAL INFORMATION

A. Name of firm completing this questionnaire: _____

B. Applicant must submit a complete Prequalification Package for each Prequalification Category for which it seeks consideration. This Application is submitted for Consideration of Prequalification as a Prime Contractor for Construction Projects for the following Prequalification Category. (Check one box below per application package):

- General Facility Construction, including Seismic Renovation**
- OSHPD Facility Construction, including Seismic Renovation**
- Justice and/or Detention Construction including Seismic Renovation**

B. Applicant can submit a Prequalification Package for each Experience Level within a Prequalification Category for which it seeks consideration. This Application is submitted for Consideration of Prequalification as a Prime Contractor for Construction Projects for the following Experience Level within the above noted Prequalification Category: (Check one box below per application package):

- Experience Level I** – Contractor is entitled to bid select construction projects between \$200,000 and \$4,999,999. The Contractor's maximum bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.
- Experience Level II** – Contractor is entitled to bid select construction projects \$5,000,000 up to \$30,000,000. The Contractor's bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

A Contractor who is selected for prequalification at Experience Level II has the option to be prequalified at Experience Level I without submitting a separate prequalification package for Experience Level I. A Contractor who fails to prequalify at the higher experience level (Level II) does not automatically prequalify for Experience Level I.

- Check here if you would like to be prequalified for Experience Level I if prequalified at Experience Level II.**

Part I - Instructions

All of the answers by Applicant to the Questions in this Part I must be provided, but are for informational purposes and are not scored.

1. Applicant Name: _____ Check One: Corporation
(As it appears on Required License) Partnership
 Sole Proprietor

2. Contact Person: _____

3. Address: _____

4. Phone: () _____ Fax: () _____ E-mail: _____

5. If Applicant is a sole proprietor, partnership or Project Joint Venture, list below or on separate signed sheets the following:
 - A. Applicant Constituent Members (refer to Article 1.9 of RFQ for definition):

 - B. License number(s) of Required License(s) currently held by Applicant (refer to Article 2.3 of RFQ for definition):

 - C. License number(s) of other active contractor licenses issued by the California State Contractors' License Board and currently held by Applicant:

 - D. Registration number issued by the California Department of Industrial Relations (DIR) currently held by Applicant:

6. If any Required License is held in the name of a corporation or partnership, for each such Required License list below the name of the individual who serves as the qualifier or qualifying individual on behalf of the Applicant for the Required License:

Required License: _____

DIR Registration Number: _____

Qualifier (Name (first, middle, and last) and Address):

Required License: _____

DIR Registration Number: _____

Qualifier (Name (first, middle, and last) and Address):

7. Has there been any change in ownership of the Applicant, (or Applicant Constituent Members if it is a Project Joint Venture), at any time during the past three (3) years?

Yes

No

If Applicant is a publicly traded corporation, then check here and no other response to this Question is required.

If "yes," explain: _____

8. Is the Applicant (or Applicant's Constituent Members if Applicant is a Project Joint Venture), a subsidiary, parent, holding company, or affiliate of another construction firm? (For purposes of this Question, an Applicant shall only be deemed an affiliate of another construction firm, and vice versa, if one owns 50% or more of the other, or if an owner, partner, director or officer of one holds a similar position as owner, partner, director or officer in the other).

Yes

No

If "yes," describe the co-ownership or affiliation:

9. Has the Applicant's (or Applicant's Constituent Members if it is a Project Venture), name or license number on any license issued to Applicant by the California State Contractors' License Board been changed within the past five (5) years?

Yes

No

If "yes," explain: _____

10. Has any owner, partner, director, or officer of Applicant (or Applicant's Constituent Members if Applicant is a Project Joint Member) operated a construction firm under any other name within the past five (5) years?

Yes No

If "yes," explain: _____
_____.

11. Does any corporate officer, corporate director, partner, or owner hold a similar position in any other construction firm?

Yes No

If "yes," explain: _____
_____.

12. Provide the following information concerning the Applicant's current surety:

Name of bonding company/surety: _____

Name of surety agent, address, and telephone number: _____

13. List below all other sureties (name and address) that have written bonds (performance or payment) for Applicant or an Applicant Constituent Member within the last three (3) years, including the name of the principal on the bond and the date on which the bond was issued:

PART II: ESSENTIAL REQUIREMENTS

Part II - Instructions

All of the answers by Applicant to the Questions in this Part II are evaluated on a "pass/fail" basis. The Applicant will be immediately disqualified if (1) its answer to any of Questions 1 through 7 is "no" or (2) its answer to any of Questions 8 through 11 is "yes".

1. Has Applicant been issued by the State of California and does Applicant currently hold a currently active and valid contractor's license within each of the classification(s) of the Required License(s) as required for the Category of Prequalification?

Yes No

2. Has Applicant attached evidence of current registration with the California Department of Industrial Relations?

Yes No

3. Does Applicant currently have a liability insurance policy with a policy limit of at least the following amount(s):

For Experience Level I Prequalification
\$ 1,000,000 per occurrence and \$ 2,000,000 aggregate?

OR

For Experience Level II Prequalification
\$ 2,000,000 per occurrence and \$ 4,000,000 aggregate?

Yes No

4. Is Applicant in compliance with the workers' compensation insurance requirements required by the California Labor Code by reason of one of the following :

A. Applicant has a current workers' compensation insurance policy as required by the California Labor Code

Yes No

B. Applicant is legally self-insured pursuant to California Labor Code Section 3700 et. seq.

Yes No

C. Applicant is exempt from these requirements because it has no employees

Yes No

5. If Applicant is not exempt on the basis that Applicant is a small business pursuant to Government Code Section 14837(d)(1) seeking to bid projects that are no more than 25 percent of the qualifying amount provided in said Section 14837(d)(1), has Applicant provided with its Prequalification Submittal a reviewed or audited Statement of Financial Condition or independent accountant's report that complies with the requirements of the Instructions?

Yes No Applicant is exempt

6. Has Applicant submitted with its Prequalification Submittal a notarized statement prepared in accordance with the Instructions from an admitted surety insurer (approved by the California Department of Insurance) that is authorized to issue bonds in the State of California stating that the Applicant's current bonding capacity is sufficient at the present time for Applicant to enter into a contract for the amount as stipulated for the Experience Level that it seeks prequalification?

For Experience Level I Applicant's Surety attests that Applicant's current bonding capacity is between \$200,000 to \$4,999,999 and has identified the limit of this capacity

Or

For Experience Level II Applicant's Surety attests that Applicants current bonding capacity is \$30,000,000 or greater and has identified the limit of this capacity.

Yes No

Bonding Capacity Limit: \$_____

7. Has Applicant submitted with its Prequalification Submittal a Bank Letter prepared in accordance with the Instructions confirming Applicant's relationship, credit, and banking history including the type of account(s) Applicant has, name of the branch manager, and his or her contact information?

Yes No

8. Within the past five (5) years has a contractor's license issued to Applicant or an Applicant Constituent Member by the California State Contractors' License Board been revoked?

Yes No

9. Within the past five (5) years has a surety completed a contract on behalf of Applicant or any Applicant Constituent Member, or paid for completion of a contract (public or private) entered into by Applicant or an Applicant Constituent Member, because Applicant or an Applicant Constituent Member was defaulted or terminated by a project owner?

Yes No

10. Is Applicant or any Applicant Constituent Member currently ineligible to bid on or be awarded a public works contract, or perform as a contractor on a public works contract, pursuant to either California Labor Code Section 1777.1, California Labor Code Section 1777.7 or California Labor Code Section 1725.5?

Yes No

11. Within the past five (5) years has Applicant, an Applicant Constituent Member, or any owner, partner, director, or officer of either, been convicted of a crime related to the awarding, bidding or performance of a construction contract?

Yes No

PART III: ORGANIZATION AND STRUCTURE

Part III – Instructions

All of the answers by Applicant to the Questions in this Part III are for informational purposes only and are not scored.

1. If Applicant is a Corporation, state:

A. Year incorporated: _____

B. State of incorporation: _____

- C. For each person who is either (i) an officer of the corporation (president, vice president, secretary, treasurer), or (ii) the owner of 10% or more of the corporation's stock:

Person's Name	Position	Years with Company	% Ownership

- D. Every construction firm that any person listed in the answer to immediately preceding Subpart c of this Question has been associated with (as owner, director, partner, or officer) at any time within the past five (5) years. *(For purposes of this Question only, the words "owner" and "partner" refer to a person holding an ownership interest of 10% or more in the firm, which in the case of a firm that is a corporation shall mean 10% or more of its stock):*

Person's Name	Construction Firm	Dates of Person's Association with Construction Firm

2. If Applicant is a Partnership, state:

- A. Date of formation: _____
 B. State under whose laws the partnership was formed: _____
 C. State the following information for each Applicant Constituent Member of the partnership:

Name	Position	Years with Company	% Ownership

- D. Every construction firm that any person listed in the answer to immediately preceding Subpart C of this Question has been associated with, (as owner, director, partner, or officer) at any time within the past five (5) years. *(For purposes of this Question only, the words "owner" and "partner" refer to a person holding an ownership interest of 10 % or more in the firm, which in the case of a firm that is a corporation shall mean 10 % or more of its stock):*

Person's Name	Construction Firm	Dates of Person's Association with Construction Firm

3. If the Applicant is a sole proprietorship state:

- A. Date of commencement of business: _____
- B. Every construction firm that the sole proprietor has been associated with (as owner, general partner, limited partner, or officer) at any time during the past five (5) years. *(For purposes of this Question only, the words "owner" and "partner" refer to a person holding an ownership interest of 10 % or more in the firm, which in the case of a firm that is a corporation shall mean 10 % or more of its stock):*

Person's Name	Construction Firm	Dates of Person's Association with Construction Firm

4. If the Applicant is a Project Joint Venture (refer to Paragraph 4.4.7 of Instructions for definition state:

- A. Date of formation of the Project Joint Venture: _____
- B. The following information for each Applicant Constituent Member of the Project Joint Venture:

Name of Applicant Constituent Member	% Ownership of Project Joint Venture

- C. Every construction firm that any person listed in the answer to immediately preceding Subpart B of this Question has been associated with (as owner, director, partner, or officer) at any time within the past five (5) years. *(For purposes of this Question only, the words "owner" and "partner" refer to a person holding an ownership interest of 10% or more in the firm, which in the case of a firm that is a corporation shall mean 10% or more of its stock):*

Person's Name	Construction Firm	Dates of Person's Association with Construction Firm

PART IV: PERFORMANCE HISTORY

Part IV - Instructions

The answers given by the Applicant to the Questions in this Part IV will be scored.

1. How many full calendar years prior to submission of its Prequalification Submittal has the Applicant (or if the Applicant is a Project Joint Venture, its Principal Managing Partner, as defined in Paragraph 4.4.7 of the Instructions) in its current organizational form, been doing business in California as a contractor performing work of the type for which a contractor's license has been issued to Applicant within the classification of each and all of the Required License(s)?

_____ # of years

2. State the Applicant's gross revenues for each of the past three (3) full calendar years:

20___: _____ 20___: _____ 20___: _____

3. At any time during the past seven (7) years has Applicant or any Applicant Constituent Member (1) declared bankruptcy; (2) had filed against it a petition for involuntary bankruptcy; (3) been placed in receivership; or (4) entered into an assignment of substantially all of its assets for the benefit of its creditors? *(An occurrence of any of the foregoing events within the past three (3) years constitutes grounds for automatic disqualification).*

Yes No

If "yes," attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

4. Has any license issued by the California State Contractors' License Board to Applicant, an Applicant Constituent Member, or a Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) of either, been suspended at any time within the past five (5) years?

Yes No

If "yes," explain on a separate signed sheet.

5. At any time within the past five (5) years has Applicant been assessed liquidated damages, the assessment of which was not subsequently withdrawn or adjudged improper, on the basis of an assertion by a public or private owner that Applicant did not complete a construction project in accordance with the timing requirements of the construction contract between such owner and Applicant?

Yes No

If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, the amount of liquidated damages assessed, and all other information necessary to fully explain the assessment of liquidated damages.

6. At any time within the past five (5) years has Applicant, an Applicant Constituent Member, or any construction firm in which any of Applicant's or an Applicant Constituent Member's owners, directors, officers

or partners was associated as an owner, director, officer or partner, been debarred or disqualified from bidding on any government agency or public works project for any reason?

Yes No

If "yes," explain on a separate signed page. State whether the firm involved was the Applicant, an Applicant Constituent Member or another firm. Identify by name of the firm, the name of the person having a position in the Applicant or Applicant Constituent Member who was associated with that firm, the year of the event, the government agency, the project, and the basis for the government agency's action.

7. Within the past five (5) years has Applicant or an Applicant Constituent Member been denied an award of a public works contract based on a finding by a public agency that it was not a responsible bidder?

Yes No

If "yes," explain on a separate signed page. Identify the year of the event, the public agency, the project, and the basis for the finding by the public agency.

Part IV - Instructions - Questions 8 and 9

Questions 8 and 9 refer only to disputes between Applicant and the owner (public or private) of a project. Applicants need not include information about disputes: (1) where the total amount of damages or losses alleged by the project owner was less than \$50,000; or (2) between Applicant and a supplier, another contractor, or subcontractor. Applicants need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor or supplier and a project owner and there were no allegations on the part of the owner or the subcontractor or supplier of wrongdoing or fault on the part of the Applicant involving acts or omissions of the Applicant that were independent of the acts or omissions of the subcontractor or supplier.

8. Within the past **five (5) years** has any lawsuit or arbitration been commenced against Applicant concerning Applicant's work on a public or private construction project?

Yes No

If "yes," on separate signed sheets identify the lawsuit(s) and/or arbitration(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court or tribunal in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

9. Within the past **five (5) years** has any lawsuit or arbitration commenced against a project owner concerning work on, or payment for, a public or private project?

Yes No

If "yes," on separate signed sheets identify each such lawsuit or arbitration by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, the court or tribunal in which the case was filed and brief descriptions of the claim's nature and status (pending or, if resolved, the terms of its resolution).

10. Within the past **five (5) years** has any surety company made any payments on behalf of Applicant or an Applicant Constituent Member as a result of a default, or to satisfy any claims made against a performance or payment bond issued on Applicant's or an Applicant Constituent Member's behalf, in connection with a public or private construction project?

Yes No

If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date and nature of resolution of such claim if resolved, including, the amount, if any, of the payment by which the claim was resolved.

11. Within the past **five (5) years** has any insurance carrier, for any form of insurance, refused to renew an insurance policy for Applicant or an Applicant Constituent Member?

Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance, and the year of the refusal.

12. Has Applicant, an Applicant Constituent Member, or an owner, director, officer or partner of either, ever been found, based on a finding of its making any false claim or material misrepresentation to any public agency or entity, liable in a civil suit or guilty in a criminal action?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

13. Has Applicant, an Applicant Constituent Member, or an owner, director, officer or partner of either, ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

14. Has Applicant, an Applicant Constituent Member or an owner, director, officer or partner of either, ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the state and district if a federal court), the year and the criminal conduct.

15. Has Applicant or an Applicant Constituent Member been required to pay a premium of more than 1% for a performance and payment bond on any project(s) on which it worked at any time within the past **three (3) years**; and, if so, what is the highest percentage that Applicant or any Applicant Constituent Member was required to pay? (*Applicant may, at its option, provide an explanation for a percentage rate higher than 1%*).

No Yes Percentage: _____%

16. Within the past **five (5) years**, has Applicant or an Applicant Constituent Member ever been denied bond coverage by a surety company, or has there ever been a period of time when Applicant or an Applicant Constituent Member had no surety bond in place during a public construction project when one was required?

Yes No

If yes, provide details on a separate signed sheet indicating the date when coverage was denied, the name of the company or companies which denied coverage and the period during which no required surety bond was in place.

17. Within the past **five (5) years** has CAL OSHA cited and assessed a penalty against Applicant (or, if the Applicant is a Project Joint Venture, its Principal Managing Partner) for any "serious," "willful" or "repeat" violations of its safety or health regulations? *(If an appeal of a citation has been filed, and the Occupational Safety and Health Appeals Board has not yet ruled on the appeal, it need not be included in Applicant's response).*

Yes No

If "yes," attach a separate signed page describing each such citation, including information about the date of the citation, the nature of the violation, the project on which the citation was issued, and the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

18. At any time within the past **five (5) years** has the federal Occupational Safety and Health Administration cited and assessed penalties against Applicant or any Applicant Constituent Member? *(If an appeal of a citation has been filed, and the Occupational Safety and Health Appeals Board has not yet ruled on the appeal, it need not be included in Applicant's response.)*

Yes No

If "yes," attach a separate signed page describing each such citation.

19. At any time within the past **five (5) years** has the Environmental Protection Agency ("EPA"), any Air Quality Management District ("AQMD") or any Regional Water Quality Control Board ("RWQCB") cited and assessed penalties against either Applicant, an Applicant Constituent Member or the owner of a project on which either was the contractor? *(If an appeal of a citation has been filed, and the EPQ, AQMD or RWQCB has not yet ruled on the appeal, it need not be included it in Applicant's response).*

Yes No

If "yes," attach a separate signed page describing each such citation.

20. How frequently does Applicant hold documented safety meetings for construction employees and field supervisors during the course of a project (public or private)?

21. State the Applicant's EMR (Experience Modification Rate) for the past three (3) full calendar years (this information is available from the Applicant's insurance carrier). *Applicants are hereby instructed to submit as part of their Prequalification Submittal OSHA No. 300 logs covering the past three (3) full calendar years as verification of its response to this Question 21.*

20__ : _____ 20__ : _____ 20__ : _____

If an EMR for any of the above three (3) years is or was 1.00 or higher, Applicant may attach signed sheets explaining the reasons for such EMR.

22. Within the past **five (5) years** has there ever been a period when Applicant or an Applicant Constituent Member had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes," explain the reason for the absence of workers' compensation insurance or state-approved self-insurance on a separate signed page. If "no" then: (1) provide (a) a statement by the Applicant's current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the past five (5) years or (b) written evidence of the existence of state-approved self-insurance for the past five (5) years; or (2) if Applicant has been in the construction business for less than five (5) years, provide (a) a statement by Applicant's workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that Applicant has been in the construction business or (b) written evidence of the existence of state-approved self-insurance for the period that Applicant has been in the construction business.

23. Has there been more than one occasion within the past five (5) years when Applicant was required to pay either back wages or penalties for its failure to comply with the California prevailing wage laws? (*Violations of the prevailing wage laws by a subcontractor need not be included in the Applicant's response*).

Yes No

If "yes," attach a separate signed page or pages describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were required to be paid.

24. Has there been more than one occasion within the past **five (5) years** when Applicant was required to pay either back wages or penalties for its failure to comply with the Federal Davis-Bacon prevailing wage laws? (*Violations of the prevailing wage laws by a subcontractor need not be included in the Applicant's response*).

Yes No

If "yes," attach a separate signed page or pages describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were required to be paid.

25. Have there been one or more public works projects in the past **five (5) years** wherein Applicant has been found by the Department of Industrial Relations to have violated any provision of the California apprenticeship laws or regulations, or the laws pertaining to the use of apprentices on public works? (*Applicant need not include in its response any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and the Applicant or the Applicant Constituent Member, as general contractor on a project, had no knowledge of the subcontractor's violation at the time it occurred*).

If "yes," attach a separate signed page describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed, and the amount of the penalty assessed, and attach copies of the Department of Industrial Relations' final decision(s).

Yes No

26. Provide below or on separate sheets the name, address, and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from which Applicant intends to request the dispatch of apprentices to Applicant for use on the Project for which prequalification is sought by Applicant.

27. If Applicant operates its own State-approved apprenticeship program, state below or on separate signed sheets:

A. The craft or crafts in which apprenticeship training was provided in the past year:

B. The year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of Applicant's apprenticeship program(s):

C. The number of individuals who were employed as apprentices at any time during the past three (3) years in each apprenticeship program

Number of Apprentices over past 3 years:

D. The number of individuals who, during the past three years, completed apprenticeships in each craft while employed

Number of Persons completing Apprenticeship
In past 3 years:

28. How many Public Agency Facilities has the Applicant (or, if the Applicant is a Project Joint Venture, its Principal Managing Partner) constructed, in its capacity as a B-License Prime Contractor, that are located in the County of Riverside during the past **ten (10) years**?

Number of Facilities:

Project Reference Interviews

Applicants are advised that the County reserves the right, in its sole discretion, to conduct or not conduct past performance interviews of up to six (6) of the Project References listed in the Applicant's responses to Part V, A, and B above. If such interviews are conducted, then the following rules shall be followed:

1. Contacts for Project References may be contacted for the purpose of either (1) confirming information provided by the Applicant, (2) conducting interviews on past performance, or (3) both confirming information and conducting interviews on past performance.

2. Communications with contacts for the purpose of only confirming information need not be conducted for every Applicant, are not scored and do not follow a prescribed set of questions.

3. The County will select up to six (6) Project References from among all of the Project References provided in the Applicant's responses to Part V, A above, for the purpose of conducting past performance interviews. If such interviews are conducted for any Applicant they will be conducted for all Applicants.

4. Failure of Applicant to receive a pre-established minimum score for any past performance interview of a contact given by Applicant as a part of a Project Reference shall constitute a ground for automatic disqualification.

5. The Applicant has been requested in Part V, A above, to provide for each Project Reference six (6) contacts for past performance interviews from: Owner, Architect or Engineer and Construction Manager. If any one of these six (6) contacts cannot be located, the Applicant must provide in its response to Part V, A above, an explanation that includes a description of the efforts made to locate the contact.

6. Failure to provide a contact in response to the Part V, A above, when such information is found to have been reasonably available to the Applicant, constitutes a grounds for disqualification. Where a contact requested by Part V, A above, cannot be reasonably located by Applicant, failure to list that contact shall not be a grounds for disqualification unless Applicant fails to provide at least one contact for a Project Reference, in which case such failure shall be a grounds for disqualification.

7. Past performance interviews shall be conducted by telephone. Applicants are responsible to ensure that the individuals listed as contacts in the Project References are available for past performance interviews. County will make two attempts by telephone to reach a contact. If a contact does not respond within forty-eight hours after the second of two telephonic attempts, the contact will be deemed unavailable. If a contact does not respond, the same effort will be made to contact the other contacts provided. If no contacts are available, the Applicant shall be disqualified.

8. A space has been provided in Part V, A above for the Applicant to indicate the contact that the Applicant requests be contacted for a past performance interview. Attempts shall be made to reach that contact before other contacts are called. If that contact does not respond, attempts shall be made as stated above to reach another contact for that Project Reference that is provided in the Applicant's response.

9. The time period during which past performance interviews may be conducted is set forth in the Prequalification Schedule set forth in the Instructions, which time period may be adjusted by Prequalification Addendum.

10. Only one past performance interview shall be conducted of one contact for each Project Reference that is selected for a past performance interview. Once a past performance interview is commenced of one of the contacts listed, no further past performance interviews of other contacts listed will be performed, even if the person interviewed is unable to answer all of the Interview Questions.

11. Identical questions from a standardized list of Questions shall be asked during past performance interviews. A copy of the standardized list of Questions is attached to the Instructions. If the person interviewed states that he/she is unable to answer the Question, then the Applicant shall be given zero points as its score for that Question.

Part IV – Project Experience

A. Applicant should provide the top example projects to demonstrate its experience and capability performing construction or seismic renovation of "Public Agency Facilities," "Hospital Facilities," or "Justice and/or Detention Facilities" of the type for which it is seeking Prequalification.

The term "General Facilities" is the same as a "Public Agency Facility" which is a building structure constructed primarily for occupancy and use by employees of a public agency conducting the business or carrying out the functions of the public agency. "Hospital Facility" shall mean an OSHPD (Office of Statewide Health Planning & Development) acute care facility. "Justice and/or Detention Facility" shall mean a facility for law enforcement or for the incarceration of individuals.

- B. Applicant shall select from Part IV Forms A – C attached, the appropriate Prequalification Category for which is submitting and respond to all related questions.

PART IV: PROJECT EXPERIENCE

Part IV - Project Experience Form A
General Facility Construction, including Seismic Renovation

Applicant must submit a separate Prequalification Package for each Construction Category for which it seeks consideration. This Application is submitted for Consideration of Prequalification as a Prime Contractor for Construction Projects for the following Experience Level within the above noted Prequalification Category: (Check one box below per application package.

Experience Level I – Contractor is entitled to bid select construction projects between \$200,000 and \$4,999,999. The Contractor’s maximum bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor’s ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

Experience Level II – Contractor is entitled to bid select construction projects \$5,000,000 up to \$30,000,000. The Contractor’s bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor’s ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

1. How many **new** Public Agency Facilities has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of between the following values:

- For Consideration and Prequalification as an Experience Level I Contractor Projects valued between \$200,000 to \$4,999,999?

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor Projects valued at \$5,000,000 or greater?

Number: ____

2. How many **renovated or remodeled** existing Public Agency Facilities has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of between the following values:

- For Consideration and Prequalification as an Experience Level I Contractor?
 - Projects valued between \$200,000 to \$4,999,999?

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor?
 - Projects valued at \$5,000,000 and greater?

Number: ____

3. How many Public Agency Facilities has the Applicant, as a B-License Prime Contractor, constructed and completed, in the past five (5) years wherein the facility was **partially occupied** by tenants while construction was underway?

Number: ____

4. How many seismic renovation projects (can be of any type of facility) has the Applicant, as a B-License Prime Contractor, constructed and completed, that included work scope for **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure in the past ten (10) years?

Number: ____

5. How many projects (can be of any type of facility) has the Applicant's staff and/or subcontractors constructed and completed, that included work scope requiring coordination drawings utilizing **3-D or BIM** technologies completed by the in the past ten (10) years?

Number: ____

Part IV - Project Experience Form B

OSHPD Facility Construction, including Seismic Renovation

Applicant must submit a separate Prequalification Package for each Construction Category for which it seeks consideration. This Application is submitted for Consideration of Prequalification as a Prime Contractor for Construction Projects for the following Experience Level within the above noted Prequalification Category: (Check one box below per application package.

- Experience Level I** – Contractor is entitled to bid select construction projects between \$200,000 and \$4,999,999. The Contractor’s maximum bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor’s ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.
- Experience Level II** – Contractor is entitled to bid select construction projects \$5,000,000 up to \$30,000,000. The Contractor’s bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor’s ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

1. How many **new** OSHPD Facilities, has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of the following values:

- For Consideration and Prequalification as an Experience Level I Contractor?
Projects valued between \$200,000 to \$4,999,000?

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor?
Projects valued at \$5,000,000 or greater?

Number: ____

2. How many **renovated or remodeled** existing OSHPD Facilities, including those with seismic renovation only, has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of between the following values:

- For Consideration and Prequalification as an Experience Level I Contractor?
 - Projects valued at \$200,000 to \$4,999,999?

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor?
 - Projects valued at \$5,000,000 and greater?

Number: ____

3. How many OSHPD Facilities projects, including those with seismic renovation only, has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years wherein the facility was **partially occupied** by tenants while construction was underway?

Number: _____

4. How many seismic renovation projects of OSHPD Facilities were completed in the past ten (10) years by the Applicant, as a B-License Prime Contractor, that included work scope for **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure?

Number: _____

5. How many OSHPD Facilities, including those with seismic renovation only, were completed in the past ten (10) years by the Applicant, as a B-License Prime Contractor, that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors?

Number: _____

Part IV - Project Experience Form C

Justice and/or Detention Facilities including Seismic Renovation

Applicant must submit a separate Prequalification Package for each Construction Category for which it seeks consideration. This Application is submitted for consideration of Prequalification as a Prime Contractor for Construction Projects for the following Experience Level within the above noted Prequalification Category: (Check one box below per application package).

Experience Level I – Contractor is entitled to bid select construction projects between \$200,000 and \$4,999,999. The Contractor's maximum bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

Experience Level II – Contractor is entitled to bid select construction projects \$5,000,000 up to \$30,000,000. The Contractor's bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

1. How many new Justice and/or Detention Facilities has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of between the following values:

- For Consideration and Prequalification as an Experience Level I Contractor?
Projects valued at \$200,000 to \$4,999,999 or greater

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor?
Projects valued at \$5,000,000 or greater?

Number: ____

2. How many renovated or remodeled existing Justice and/or Detention Facilities, including those with seismic renovation only has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years having a total contract value for construction upon completion of between the following values:

- For Consideration and Prequalification as an Experience Level I Contractor?

- Projects valued at \$200,000 to \$4,999,999?

Number: ____

- For Consideration and Prequalification as an Experience Level II Contractor?

- Projects valued at \$5,000,000 and greater?

Number: ____

3. How many Justice and/or Detention Facilities, including those with seismic renovation only, has the Applicant, as a B-License Prime Contractor constructed and completed, in the past five (5) years wherein the facility was **partially occupied** by tenants while construction was underway?

Number: _____

4. How many seismic renovation projects of Justice and/or Detention Facilities were completed in the past ten (10) years by the Applicant, as a B-License Prime Contractor, that included work scope for **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure?

Number: _____

5. How many Justice and/or Detention Facilities, including those with seismic renovation only, were completed in the past ten (10) years by the Applicant, as a B-License Prime Contractor, that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors?

Number: _____

Part V - Project Reference Form

Instructions – Part V Reference Form

Applicant shall submit six (6) Project Reference Forms for completed projects appropriate to the Prequalification Category and Experience Level for which it is seeking prequalification.

In those cases wherein an Applicant seeks Prequalification for multiple Categories of Prequalification, and /or for multiple Levels of Experience (even within a single Prequalification Category) then Applicant must submit a complete Prequalification Package conforming to the requirements of the RFQ for each such submittal.

Designate for both the Prequalification Category and Experience Level for which this reference is submitted:

A. Applicant must submit a complete Prequalification Package for each Prequalification Category for which it seeks consideration. This Application is submitted for Consideration of Prequalification as a Prime Contractor for Construction Projects for the following Prequalification Category. (Check one box below per application package):

- General Facility Construction, including Seismic Renovation**
- OSHPD Facility Construction, including Seismic Renovation**
- Justice and/or Detention Construction including Seismic Renovation**

B. Applicant can submit a Prequalification Package for each Experience Level within a Prequalification Category for which it seeks consideration. This Application is submitted for consideration of Prequalification as a Prime Contractor for construction projects for the following Experience Level within the above noted Prequalification Category: (Check one box below per application package):

- Experience Level I** – Contractor is entitled to bid select construction projects between \$200,000 and \$4,999,999. The Contractor's maximum bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to

meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

- Experience Level II** – Contractor is entitled to bid select construction projects \$5,000,000 up to \$30,000,000. The Contractor's bid limit will be set for the term of service by the actual bid limit established at Notice of Prequalification. Proof of Contractor's ability to meet minimum project bonding limits will be required during each project solicitation conducted for this Level of Prequalified Contractor. Failure of Contractor to meet the minimum specific project limits will result in disqualification for the specific project solicitation.

C. Project References

Provide the information requested below for six (6) public works projects that Applicant has completed in its capacity as a B-License General Building Contractor within the past five (5) years unless otherwise noted. Information provided must be current and verifiable. If a contact given for a Project Reference cannot be located, state the efforts that were made to locate the contact. Applicant should provide the top example projects to demonstrate its experience and capability:

C.1 Experience Level I Applicants (only fill out this section if you are submitting for Experience Level I):

Project References Form C.1.1 – General Facility Renovation

Provide the information requested below for six (6) public works projects for **Public Agency Facility** Renovation (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **Public Agency** facilities;
- Two (2) seismic renovation of an existing facility (can be any type of facility) utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing facility (can be any type of facility) that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion between \$200,000 and \$4,999,999

Project References Form C.1.2 - OSHPD Facility Renovation

Provide the information requested below for six (6) public *or private* OSHPD Facility Renovation projects (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **OSHPD** facilities;
- Two (2) seismic renovation of an existing **OSHPD** facility utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing **OSHPD** facility that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion between \$200,000 and \$4,999,999

Project References Form C.1.3 – Justice and/or Detention Facility Renovation

Provide the information requested below for six (6) public works projects for **Justice/Detention** Facility Renovation (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **Justice/Detention** facilities;
- Two (2) seismic renovation of an existing facility (can be any type of facility) utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing facility (can be any type of facility) that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion between \$200,000 and \$4,999,999

C.2 Experience Level II Applicants: (only fill out this section if you are submitting for this Level II):

Project References Form C.2.1 – General Facility Renovation

Provide the information requested below for six (6) public works projects for **Public Agency Facility** Renovation (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **Public Agency** facilities;
- Two (2) seismic renovation of an existing facility (can be any type of facility) utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing facility (can be any type of facility) that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion of over \$5,000,000

Project References Form C.2.2 - OSHPD Facility Renovation

Provide the information requested below for six (6) public *or private* OSHPD Facility Renovation projects (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **OSHPD** facilities;
- Two (2) seismic renovation of an existing **OSHPD** facility utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing **OSHPD** facility that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion of over \$5,000,000

Project References Form C.2.3 – Justice and/or Detention Facility Renovation

Provide the information requested below for six (6) public works projects for **Justice/Detention** Facility Renovation (*cannot be duplicates*) that Applicant has completed in its capacity as a B-License Prime Contractor:

- Three (3) new construction or remodeled existing **Justice/Detention** facilities;
- Two (2) seismic renovation of an existing facility (can be any type of facility) utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure; and
- One (1) renovation or remodeled existing facility (can be any type of facility) that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors.

And had a contract value at the time of completion of over \$5,000,000

Reference Form

Project #1: New Construction or remodeled existing facility of the selected category:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery:

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,

Architect, or Construction Manager): _____

Project #2: New Construction or remodeled existing facility of the selected category:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,

Architect, or Construction Manager): _____

Project #3: New Construction or remodeled existing facility of the selected category:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,

Architect, or Construction Manager): _____

Project #4: Seismic renovation of an existing facility* utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,

Architect, or Construction Manager): _____

Project #5: Seismic renovation of an existing facility* utilizing **shotcrete or fiberwrap** as a means of structural remediation in the course of retrofitting and reinforcement of the structure:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,
Architect, or Construction Manager): _____

Project #6: Renovation or remodeled existing facility* that included work scope requiring coordination drawings utilizing **3-D or BIM technologies** completed by the Applicant's staff and/or subcontractors:

Project Name: _____

Location: _____

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer: _____

Architect or Engineer Contact (name and current phone number):

Construction Manager: _____

Construction Manager Contact (name and current phone number):

Description of Project (describe how the scope of work met the experience criteria):

Method of Project Delivery: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Person to Contact for Interview (must be one of the contacts listed above for the Owner,
Architect, or Construction Manager): _____

**OSHPD project references are required if the Pre-Qualification Submittal category is for OSHPD New Construction or Renovation*